

# CLIENT REGISTRATION FORM



## Standard Securities & Investment Intermediates Ltd.

Member : National Stock Exchange of India Ltd. (Capital Market, F&O and Currency Derivative Segment)

Member : Metropolitan Stock Exchange of India Ltd. (Currency Derivative Segment)

Member : Multi Commodity Exchange of India Ltd. (Commodity Segment)

DEPOSITORY PARTICIPANT : CDSL

**FOR INDIVIDUALS**

Client Name : .....

Branch Name : .....

Introducer RM Name : .....

Service RM Name : .....

Client/BO ID : .....

Branch Code : .....

Emp. Code : .....

☐

Only Trading

☐

Only DP

☐

Combined

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**Depository Division :** L-79/L-76, Top Floor, Lajpat Nagar Part-2, New Delhi-110024, Ph.: 47295526, 47295506

Email id: [compliance@standardsec.com](mailto:compliance@standardsec.com)

## Date: | D | D | M | M | Y | Y | Y | Y |

Signature of the  
Introducer :

**EXCHANGE-WISE INVESTOR GRIEVANCE CELL**

Exchange	Web Address	Contact No.	Email - Id
NSE	www.nseindia.com	022-26598100	ignse@nse.co.in
MSEI	www.msei.in	022-61129028	investorcomplaints@msei.in
MCX	www.mcxindia.com	022-67318888	grievance@mcxindia.com

Depository	Web Address	Contact No.	Email - Id
CDSL	www.cdslindia.com	022-22723333	complaints@cdslindia.com

**SEBI Registration No INZ000224239. Depository Participant : CDSL, SEBI Regn. No.: IN-DP-386-2018, DPID : 12086900  
NSE TM No. 08054, MSEI TM No. 66800, MCX TM No. 55100**

**ACKNOWLEDGEMENT TO SSIIL FROM CLIENT**

To,  
**Standard Securities & Investment Intermediates Ltd.**

Date : \_\_\_\_\_

Regd. Office : L-79, Top Floor, Lajpat Nagar Part-2,  
New Delhi-110024

I/we hereby confirm that I/we have read, understood, agreed and received a duly executed copy of the:-

- Account Opening Form
- Trading Account Related Details & Tariff Sheet (Trading & Demat Account)
- Rights and Obligations of Stock Brokers, Sub-Brokers and Clients
- Rights and Obligations of Beneficiary owner and Depository Participant as prescribe by SEBI and Depository
- Internet and Wireless technology based trading facility provided by Stock Brokers to Client
- Risk and Disclosure document for capital market and derivative segments
- Guidance note-Do's and Don't for trading on the Exchange(s) for Investors
- Policies and procedures
- Terms & Conditions as Mutually agreed by me & FATCA & CRS Terms & Conditions
- Other disclosure/documents as agreed by me specifically in voluntary segment.
- DDPI (Demat Debit and Pledge Instruction)

I/we am/are abiding by these terms & conditions. I/we reconfirm that I/we and stock broker shall refer any claim and/or disputes with respect to deposits, margin money, etc, to arbitration as per the Rules, Byelaws, and Regulation of the Exchanges where the trade is executed and circulars/notices issued there under as may be in force from time to time. I/We reconfirm that I/we and Stock Broker shall refer all claims, differences or disputes between us arising out of or in relation to dealings, contracts and transaction made subject to the Bye-Laws, Rules and Regulations of the Exchange or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions and contracts have entered into to arbitration in accordance with the provisions of these Byelaws and Regulations.

Thanks and Best regards,

Client Code : \_\_\_\_\_

For \_\_\_\_\_

**For Standard Securities & Investment Intermediates Ltd.**

Authorised Signatory / Director

1 

\_\_\_\_\_  
Authorised Signatory Name

## INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity (POI) :- List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D)
2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA): - List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account Power of Attorney given by FII/sub account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

### D. Exemptions/clarifications to PAN

(\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.



# CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

## Important Instructions:

- A) Fields marked with "\*" are mandatory fields. E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.  
 B) Please fill the form in English and in BLOCK letters. F) List of two character ISO 3166 country codes is available at the end.  
 C) Please fill the date in DD-MM-YYYY format. G) KYC number of applicant is mandatory for update application.  
 D) Please read section wise detailed guidelines / instructions at the end. H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.



**For office use only** (To be filled by financial institution)

Application Type\* ☐ New ☐ Update

KYC Number  (Mandatory for KYC update request)

Account Type\* ☐ Normal ☐ Simplified (for low risk customers) ☐ Small

## 1. PERSONAL DETAILS (Please refer instruction A at the end)

☐ Name\* (Same as ID proof)

Maiden Name (If any\*)

Father / Spouse Name\*

Mother Name\*

Date of Birth\* DD - MM - YYYY

Gender\* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status\* ☐ Married ☐ Unmarried ☐ Others

Citizenship\* ☐ IN- Indian ☐ Others (ISO 3166 Country Code )

Residential Status\* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type\* ☐ S-Service (☐ Private Sector ☐ Public Sector ☐ Government Sector) ☐ O-Others (☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student) ☐ B-Business ☐ X- Not Categorised

**PHOTO**

Signature / Thumb Impression

## 2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED\* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence\*

Tax Identification Number or equivalent (If issued by jurisdiction)\*

Place / City of Birth\*  ISO 3166 Country Code of Birth\*

## 3. PROOF OF IDENTITY (PoI)\* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

☐ A- Passport Number  Passport Expiry Date DD - MM - YYYY

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence  Driving Licence Expiry Date DD - MM - YYYY

☐ E- UID (Aadhaar)

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government)  Identification Number

☐ S- Simplified Measures Account - Document Type code  Identification Number

## 4. PROOF OF ADDRESS (PoA)\*

### 4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type\* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address\* ☐ Passport ☐ Driving Licence ☐ UID (Aadhaar) ☐ Voter Identity Card ☐ NREGA Job Card ☐ Others  please specify

☐ Simplified Measures Account - Document Type code

## Address

Line 1\*

Line 2

Line 3

District\*  Pin / Post Code\*  City / Town / Village\*  State / U.T Code\*  ISO 3166 Country Code\*

☐ 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS \* (Please see instruction E at the end)

☐ Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*																				
Line 2																				
Line 3																				
District*						Pin / Post Code*						State / U.T Code*			ISO 3166 Country Code*					

☐ 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES\* (Applicable if section 2 is ticked)

☐ Same as Current / Permanent / Overseas Address details

☐ Same as Correspondence / Local Address details

Line 1*																					
Line 2																					
Line 3																					
State*						ZIP / Post Code*						City / Town / Village*						ISO 3166 Country Code*			

☐ 5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off)						Tel. (Res)						Mobile									
FAX						Email ID															

☐ 6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

☐ Addition of Related Person

☐ Deletion of Related Person

KYC Number of Related Person (if available\*)

Related Person Type\*

☐ Guardian of Minor

☐ Assignee

☐ Authorized Representative

Name*	Prefix	First Name					Middle Name					Last Name				

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON\* (Please see instruction (H) at the end)

<input type="checkbox"/> A- Passport Number											Passport Expiry Date	DD - MM - YYYY				
<input type="checkbox"/> B- Voter ID Card																
<input type="checkbox"/> C- PAN Card																
<input type="checkbox"/> D- Driving Licence											Driving Licence Expiry Date	DD - MM - YYYY				
<input type="checkbox"/> E- UID (Aadhaar)																
<input type="checkbox"/> F- NREGA Job Card																
<input type="checkbox"/> Z- Others (any document notified by the central government)											Identification Number					
<input type="checkbox"/> S- Simplified Measures Account - Document Type code											Identification Number					

☐ 7. REMARKS (If any)


8. APPLICANT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : DD - MM - YYYY

Place :

2

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ IPV Done

KYC / IN-PERSON VERIFICATION CARRIED OUT BY

Date	DD - MM - YYYY									
Emp. Name										
Emp. Code										
Emp. Designation										
Emp. Branch										

[Employee Signature]

INSTITUTION DETAILS

Name **Standard Securities & Investment Intermediates Ltd.**

Code

[Institution Stamp]



### A. Identity Details

Please fill in **ENGLISH** and **BLOCK LETTERS** with black ink

	Prefix	First Name	Middle Name	Last Name
<b>1. Name of Applicant</b>				
Name as per Income Tax Record				
Maiden Name (if any)				
Father / Spouse Name				
Mother Name				

**2. A. Gender**    ☐ Male    ☐ Female    ☐ Transgender    **B. Marital status**    ☐ Single    ☐ Married    ☐ Others \_\_\_\_\_

**3. PAN** [ ][ ][ ][ ][ ][ ][ ]    **Nationality / Citizenship**    ☐ Indian    ☐ Other (Please specify) \_\_\_\_\_

**4. Residential Status**    ☐ Resident Individual    ☐ Non Resident Indian    ☐ Foreign National    ☐ Person of Indian Origin

**Occupation** (Please tick (3) any one and give brief details):  
☐ Private Sector Service    ☐ Public Sector    ☐ Government Service    ☐ Business    ☐ Professional    ☐ Agriculturist  
☐ Retired    ☐ Housewife    ☐ Student    ☐ Others (Please specify) \_\_\_\_\_

**5. UID/Aadhaar :** [X][X][X][X][X][X][X][X]    **Date of Birth** [d][d]/[m][m]/[y][y][y][y]

**6. Proof of Identity submitted for PAN exempt cases** (see guideline ‘D’ in check list .)

**PHOTOGRAPH**

Please affix  
the recent passport  
size photograph  
and sign across it

### B. Address Details

<b>1. Address for Correspondence</b>																																							
										City/Town/Village																													
State										Country										Pin Code																			
<b>2. Contact Details</b>																																							
<b>Mobile</b> (Primary)										(ISD) (STD)										<b>Mobile</b> (Secondary)										(ISD) (STD)									
Tel.										(ISD) (STD)										Fax										(ISD) (STD)									
<b>MOBILE (Primary)</b> given by me belongs to <input type="checkbox"/> <b>Me</b> <input type="checkbox"/> <b>Spouse</b> <input type="checkbox"/> <b>Dependent children</b> <input type="checkbox"/> <b>Dependent Parent</b>																																							
<b>E-Mail ID.</b> (In Capital Letters only)																																							
<b>E-MAIL ID</b> given by me belongs to <input type="checkbox"/> <b>Me</b> <input type="checkbox"/> <b>Spouse</b> <input type="checkbox"/> <b>Dependent children</b> <input type="checkbox"/> <b>Dependent Parent</b>																																							
<b>No E-Mail/Mobile Declaration</b> I hereby declare that I do not have any Email ID/Mobile <input type="checkbox"/>																																							
<b>3. Specify the Proof of Address submitted for Residence / Correspondence Address</b> <input type="checkbox"/> <b>UID</b> <input type="checkbox"/> <b>Other</b> _____																																							
<b>4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant</b>																																							
										City/Town/Village																													
State										Country										Pin Code																			
<b>5. Specify the Proof of Address submitted for Residence / Permanent Address</b>																																							

### C. Fatca & CRS Detail

Nationality/Tax Residency/Citizen ship Other than India ☐ No ☐ Yes

[illegible]

**Declaration :** I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I under take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time. I hereby give my consent for receiving information including Central KYC Registry through SMS/Email on the above registered number/email address.

I / We hereby provide my / our consent for sharing / disclosed of the Aadhaar number(s) including demographic information with SSIL, SEBI, Exchanges, CKYC, KRA, Depositories and any other institutions / agencies as per requirement.

**SIGNATURE OF APPLICANT**

3



Place:

Date:

## IPV TO BE FILLED BY - EMPLOYEE/AP/OTHERS

Intermediary name **OR** code

Intermediary name <b>OR</b> code <input type="checkbox"/> (Originals Verified) Self Certified Document copies received <input type="checkbox"/> (Attested) True copies of documents received Main Intermediary	<input type="checkbox"/> <b>IN-PERSON VERIFICATION (IPV)</b> <input type="checkbox"/> <b>DOCUMENTS VERIFIED WITH ORIGINALS</b> <input type="checkbox"/> <b>CLIENT INTERVIEWED BY</b> Date : <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Institution Name & Code : <b>Standard Securities &amp; Investment Intermediates Ltd.</b> Name : _____ Code : _____ Designation : _____ Signature : _____
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# ADDITIONAL KYC FORM FOR OPENING A DEMAT & TRADING ACCOUNT (INDIVIDUAL)

DP ID	1	2	0	8	6	9	0	0	Client ID							
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--

I/We request you to open a depository & trading account in my/our name as per following details :

(Please fill all the details in CAPITAL/BLOCK LETTERS only)

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

## A. TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual HUF/AOP <input type="checkbox"/> Individual Margin Trading A/c (Mantra)	<input type="checkbox"/> Individual Director <input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Minor <input type="checkbox"/> Others (Specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> Others (Specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository Receipts	<input type="checkbox"/> Others (Specify) _____	
<input type="checkbox"/> Sub Type	<input type="checkbox"/> Stock Broker-Client <input type="checkbox"/> Stock Broker-Proprietary <input type="checkbox"/> Stock Broker-Collateral		

## B. HOLDERS DETAILS

Account Holder(s)	Sole/First Holder	Second Holder	Third Holder
Name			
PAN			
Date of Birth			
UID / Aadhaar			
UCC		—	—
Exchange Name & ID		—	—
Brief Details			

C.	Name _____	PAN											
In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.													

## D. DETAILS OF GUARDIAN (in case the account holder is minor)

Guardian's Name		PAN											
Relationship with the applicant		Date of birth											

I/We instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement (If not marked, the default option would be as per SEBI Regulation)	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email Id _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic
I/We wish to receive dividend/interest directly in to my/our bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No

Easi	To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.	<input type="checkbox"/> Yes <input type="checkbox"/> No
------	--	--

<b>Transactions Using Secured Texting Facility (TRUST)</b> Refer to Terms and Conditions <b>Annexure B</b>	I wish to avail the TRUST facility using the Mobile Number registered for SMS Alert Facility, I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST.		
	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)



**NOMINATION FORM - TRADING AND DEMAT ACCOUNTS**
**(Annexure-A)**

DATE	D	D	M	M	Y	Y	Y	Y	UCC							
DP ID									Client ID							

I/We wish to make a nomination. [As per details given below]

**NOMINATION DETAILS**

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me/us in the said beneficiary owner account in the event of my / our death.

Nomination can be made upto three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1	Name of the nominee(s) (Mr./Ms.)			
2	Share of each Nominee Equally <input type="checkbox"/> [If not equally, please specify percentage]	%	%	%
Any odd lot after division shall be transferred to the first nominee mentioned in the form.				
3	Relationship With the Applicant (If Any)			
4	Address of Nominee(s)			
	PIN Code			
5	Mobile/Telephone No. of Nominee(s)			
6	Email ID of Nominee(s)			
7	Nominee Identification details : [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature	<div>Photograph of 1st nominee</div> <div>Signature of Nominee across photograph</div> <div>Signature</div>	<div>Photograph of 2nd nominee</div> <div>Signature of Nominee across photograph</div> <div>Signature</div>	<div>Photograph of 3rd nominee</div> <div>Signature of Nominee across photograph</div> <div>Signature</div>
	<input type="checkbox"/> PAN			
	<input type="checkbox"/> Aadhaar			
	<input type="checkbox"/> Saving Bank Account No.			
	<input type="checkbox"/> Proof of Identity			
	<input type="checkbox"/> Demat Account ID			
<b>Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:</b>				
8	Date of Birth {in case of minor nominee(s)}	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
9	Name of Guardian (Mr./Mrs.) {in case of minor nominee(s)}			
10	Address of Guardian			
	PIN Code			
11	Mobile/Telephone No. of Guardian			
12	Email ID of Guardian			
13	Relationship of Guardian with Nominee			
14	Guardian Identification details : [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature	<div>Photograph of 1st Guardian</div> <div>Signature of Guardian across photograph</div> <div>Signature</div>	<div>Photograph of 2nd Guardian</div> <div>Signature of Guardian across photograph</div> <div>Signature</div>	<div>Photograph of 3rd Guardian</div> <div>Signature of Guardian across photograph</div> <div>Signature</div>



**TRADING & DEMAT ACCOUNT RELATED DETAILS**  
For both Individuals & Non-individuals

**A. BANK ACCOUNT(S) DETAILS**

**I. BANK ACCOUNT DETAIL**

Sr No.	Bank Name & Address	Bank Account No.	Account Type	MICR No.	IFSC Code
PRI SEC			<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO		
PRI SEC			<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO		






**B. DEPOSITORY ACCOUNT(S) DETAILS**

Depository Participant Name	Depository Name	Beneficiary Name	DP ID	Beneficiary ID (BO ID)
Standard Securities & Investment Intermediates Ltd.	CDSL		12086900	

I authorize you to transfer the shares purchased by me to the above mentioned demat beneficiary account.

**C. TRADING PREFERENCE**

Select and Sign against the Segment of Exchange in which Trading facility required:

*Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.					
Exchanges	NSE & MSEI				MCX
All Segments	Cash/Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
					

1. I/We are interested to trade into commodity options and hereby accord my/our consent to trading in commodity options.
2. I/We have gone through the additional risk disclosure document and have appraised myself/ourselves with the risk of trading in commodity options.
3. This consent is valid till I/we revoke the same. I/We understand that I/we have right to withdraw the same at any point of time.

# If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

**D. ADDITIONAL DETAILS**

1. I/We hereby request you to provide the Contract Notes, Daily Margin Statements, Monthly Statements, Settlement Extracts, AGTS, etc. at my/our registered email id. My/our Email Id has been created by me/us and not by someone else. I/We am/are aware that non-receipt of bounced mail notification by the Member shall amount to delivery of the aforesaid documents at my/our e-mail ID. ☐ Yes ☐ No
- Whether you wish to receive your executed KYC ☐ Electronically ☐ Physically
- Whether you wish to avail of the facility of internet trading/ wireless technology : ☐ Yes ☐ No (if yes, then please specify)
- ☐ Application Base Trading ☐ Web Base Trading ☐ Securities Trading using Wireless Technology ☐ NOW
- Number of Years of Investment / Trading Experience : \_\_\_\_\_
- Any other information : \_\_\_\_\_

## Important Risk Disclosures from SEBI

Please read the following pointers before participating in the derivatives segments of the markets

### Risk Disclosures on Derivatives

01. 9 out of 10 individual traders in equity Futures and Options Segment, incurred net losses
02. On an average, loss makers registered net trading loss close to ₹50,000
03. Over and above the net trading losses incurred, loss makers expended an additional 28% of net trading losses as transaction costs
04. Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost.

## CONSENT FOR KYC RECORDS DOWNLOAD FROM CENTRAL KYC RECORD REGISTRY AND OTHER KYC REGISTRATION AGENCY

I/We hereby give my/our consent to download my/our records from Central KYC Records Registry and Other KYC Registration Agency as and when required.



Signature of Client

## E. OTHER DETAILS

- **Gross Annual Income Details :** Income Range per annum : ☐ Below Rs. 1 Lac ☐ Rs. 1 Lac to 5 Lac  
(please tick ✓) ☐ Rs. 5 Lac to 10 Lac ☐ Rs. 10 Lac to 25 Lac ☐ Rs. 25 Lac to 1 Crore ☐ >1 Crore

- **Net Worth** (Net worth should not be older than 1 year) **Amount Rs**.....  
**as on (date)**

- **Is the entity involved/providing any of the following services** ☐ Yes ☐ No  
- For Foreign Exchange/Money Changer Services ☐ Yes ☐ No - Gaming/Gambling/Lottery Services (e.g. casinos, betting syndicates)  
- Money Lending / Pawning ☐ Yes ☐ No

■ **GST Registration Details**

S.No.	State	State Code	GST Registration No.	Validity Date
1.				

- **Please tick, if applicable** (Note : In case of Non-individuals please tick, if applicable for any of your authorised signatories/Promoters/Partners/Karta/Trustees/whole time directors) :  
☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (PEP)
- **Any other information** : .....

## F. PAST ACTIONS

- ☐ Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its authorized persons in charge of dealing in securities during the last 3 years :

## G. DEALINGS THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS

### G1. DEALINGS THROUGH AUTHORISED PERSON

- If client is dealing through the Auth. Person, provide the following details:

Auth. Person's Name: \_\_\_\_\_ SEBI Registration number: \_\_\_\_\_

Registered office address & Contact Details : \_\_\_\_\_

### G2. DEALINGS THROUGH OTHER STOCK BROKERS / AUTHORISED PERSON

- whether dealing with any other stock broker / Auth. Person (if case dealing with multiple stock broker / sub broker, provide details of all)

Name of Stock Broker: \_\_\_\_\_ Name of Auth. Person, if any : \_\_\_\_\_

Client Code: \_\_\_\_\_ Exchange : \_\_\_\_\_

- Details of disputes/dues pending from/to such stock broker / Auth. Person : \_\_\_\_\_

## DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker, tariff sheet and all voluntary/non-mandatory documents.
3. I/We further confirm having read and understood the contents of the Rights and Obligations', Policies & Procedures document(s) and 'Risk Disclosure Document', 'Do's and Don't's'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/we have read, understood, agreed and received a duly executed copy of the:-
  - Account Opening Form, Trading Account Related Details and Tariff Sheet
  - Other disclosure/documents as agreed by me/us specifically in voluntary segment.
  - Terms & Conditions as mutually agreed by me/us
  - FATCA & CRS Terms & Conditions
  - Option Consent Letter
  - Other disclosure/documents as agreed by me/us specifically in voluntary segment.I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

[illegible]Date: 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

**5** 

Signature of Client/(all) Authorized Signatory(ies)



## TARIFF SHEET

### BROKERAGE STRUCTURE

Brokerage Slab	% of Turnover	Minimum	Brokerage Slab	% of Turnover	Minimum
Delivery Based		Paissa_____Per Share	Currency Future		Paissa_____Per Share
Daily Square up		Paissa_____Per Share	Currency Option		Rs._____Per Lot
Equity Future		Paissa_____Per Share	Commodity Future		Rs._____Per Lot
Equity Option		Rs._____Per Lot	Commodity Option		Rs._____Per Lot

**Important Note :** In case of Physical Contract notes or other related documents being dispatched to client, a difference of Rs. 25/- in total brokerage booked on a particular contract would be charged toward minimum processing fee. Delay payment charges @2% per month would be charged for debit/shortage in payin/margin default, as per exchange requirements. Depository/Pledge charges for the movement of shares between pool/ beneficiary/margin account for payin / payout or margin purpose will be charged. Transaction charges/Clearing Charges/other statutory charges/ courier/ administration/ processing charges will be charged .001%. Handling charges for issue of account statement, copy of contract note etc. will be charged as applicable. Handling charges for issue of account statement, copy of contract note etc. will be charged as applicable. One time processing fee Rs. 50/- per segment and DDPI charges Rs. 150/- shall be charged.

### CHARGES FOR DEPOSITORY SERVICES

Sr.	Services	Schemes (Please Select)		
		Lifetime AMC	Investor	BSDA
		<input type="checkbox"/> 1250	<input type="checkbox"/> 300	<input type="checkbox"/> Free
1.	Deliveries / Debit transaction (per ISIN) Within SSIL Outside SSIL	Rs. 20/- Rs. 40/-	Rs. 20/- Rs. 40/-	Rs. 50/- Rs. 70/-
2.	Charges for Pledge creation/closure (per ISIN)	Rs. 50/-	Rs. 50/-	Rs. 100/-
3.	Dematerialization (per Certificate) Postal Charges	Rs. 100/- Rs. 100/-	Rs. 100/- Rs. 100/-	Rs. 40/- Rs. 100/-
4.	Rematerialization (per Certificate) Postal Charges	Actual CDSL charges		
		Rs. 100/-	Rs. 100/-	Rs. 100/-
5.	Demat Rejection	Rs. 30/-		Rs. 60/-
6.	Modification Charges	Rs. 100/- + (All Taxes)		



**Note:**

- Inter-Settlement charge towards debit transactions of client shares from Standard Securities & Investment Intermediates Ltd. beneficiary and SSIL collateral account, Rs. 10/- + taxes per ISIN would be debited in the client ledger.
- In case of every corporate account, CDSL AMC of Rs. 2500/- & transaction charges of investor scheme will be applicable.
- CDSL levies Rematerialization charges as higher of  
(i) A fee of Rs. 30/- for every 100 securities or part thereof: **OR** (ii) A flat fee of Rs. 30/- per certificate.
- STT, SEBI turnover fees, Stamp duty, Transaction charges, GST, Stamp duty, Transaction charges, Education cess and other statutory levies (if any) shall be levied as per prevailing rates.
- BSDA scheme charges would be Rs. 100/- per annum if value of holding is greater than Rs. 50,000/- & less than Rs. 2,00,000/-.
- Rs. 25/- per request / booklet will be charged for additional DIS requisition, account statement, holding with valuation, account modification, freeze & unfreeze etc.
- Charges levied on account of cheque return, wrong reporting of cheques, DP scheme charges, Issue of duplicate statements etc. may be recovered from your account.
- Clearing charges would be charged extra wherever applicable as per the prevailing rates.
- Standard Securities & Investment Intermediates Ltd. reserves the right to increase the tariff charges from time to time at its sole discretion, under 30 days prior intimation to clients either by way of ordinary post or by an email and by notification on the back office interface.
- Minimum 70% turnover should be through online trading platform for online brokerage slab applicability.
- Brokerage levied to your trading account shall be the higher of brokerage value as per existing rates or Rs.30/- per settlement/segment subject to maximum rate prescribed by regulator time to time.
- Margin pledge and re-pledge and unpledge charges Rs. 15 per transaction. 13. CUSPA Charges Rs. 25 Per Transaction

"As per SEBI Circular No. CIR/MRD/DP/22/2012 dated 27th August 2012, all clients who are willing to hold only one demat account as first holder and DP holding valuation will be maintained below Rs. 2 Lac. Is eligible to opt for BSDA (Basic Service Demat Account) subject to term and conditions mentioned by SEBI." To opt BSDA scheme please sign a separate scheme sheet which can be obtained from our office."

☐ I/We do not wish to avail BSDA facility in my/our Demat Account

☐ I/We wish to avail BSDA facility in my/our Demat Account.

<b>6</b> 		
Name: 1st Holder	Name: 2nd Holder	Name: 3rd Holder

# ANNEXURE - A

## Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

### Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- "BO" means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- SMS means "Short Messaging Service"
- "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

### Availability:

- The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- The service is currently available to the BOs who are residing in India.
- The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

### Receiving Alerts:

- The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **Registration / modification** (Please cancel out what is not applicable).

BOID

1	2	0	8	6	9	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : \_\_\_\_\_ Second Holder's Name \_\_\_\_\_ Third Holder's Name \_\_\_\_\_

Mobile Number on which message are to be sent

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

The mobile number is registered in the name of : \_\_\_\_\_

Email ID : \_\_\_\_\_

(Please write only ONE valid email ID on which communications; if any, is to be sent)

Signatures



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

Place : \_\_\_\_\_

Date : \_\_\_\_/\_\_\_\_/20\_\_\_\_

# ANNEXURE - B

## Terms And Conditions for availing Transaction Using Secured Texting (TRUST) service offered by CDSL

### 1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- "Depository" means Central Depository Services (India) Limited (CDSL)
  - TRUST means "Transactions Using Secured Texting" service offered by the Depository.
  - "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
  - "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
  - "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
- The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
  - The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
  - The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
  - The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
  - The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
  - The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
  - The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.

- BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP.
- The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
- The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
- The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
- Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
- The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
- The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
- The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
- Indemnity:  
In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
- Disclaimer:  
Depository shall be absolved of any liability in case :-  
a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.  
b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.  
c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

To register for **easi**, please visit our website [www.cdslindia.com](http://www.cdslindia.com). **Easi** allows a BO to view his ISIN balances, transactions and value of the portfolio online.

Dear Sir/Madam,

I/We wish to avail the following facility/ies provided by the depository on my/our mobile number as provided below subject to the terms and conditions as specified by CDSL.

- SMART - SMS alert facility
- TRUST - Transaction using Secured Texting facility.

**(please note that SMS alert facility is mandatory if TRUST facility is opted for)**

BOID	1	2	0	8	6	9	0	0											
	(Please write your 8 digit DPID)								(Please write your 8 digit Client ID)										

Sole / First Holder's Name : \_\_\_\_\_ Second Holder's Name \_\_\_\_\_ Third Holder's Name \_\_\_\_\_

Mobile Number on which message are to be sent	+91																	
---	-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

**(Please write only the mobile number without prefixing country code or zero)**

**(Existing users registered for SMS alerts : Please note that if the mobile number for TRUST is different than the registered mobile number for SMS alert, the new mobile number will be updated for SMS alert also.)**

The mobile number is registered in the name of : \_\_\_\_\_

Email ID : \_\_\_\_\_

(Please write only ONE valid email ID on which communications; if any, is to be sent)

I/We consent to CDSL providing to the service provider such information pertaining to account / transactions in my/our account as is necessary for the purpose of availing the said facility.

I/We have read and understood the terms and conditions prescribed by CDSL for the said facility/ies and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee / charges as may be levied by the depository from time to time.

Signatures



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

Place : \_\_\_\_\_

Date : \_\_\_\_/\_\_\_\_/20\_\_\_\_

**STATEMENT OF ACCOUNT RECEIVING IN ELECTRONIC MODE**

To,  
**Standard Securities & Investment Intermediates Ltd.**  
 Regd. Office : L-79, Top Floor, Lajpat Nagar Part-2,  
 New Delhi-110024

Date \_\_\_\_\_

**Re : Beneficial Owner (BO) Account No.** \_\_\_\_\_

I/We \_\_\_\_\_  
 [name(s) of the BO(s)] had entered into DP BO agreement with you. I/We confirm having opted to receive the statement of accounts pertaining to our BO account in electronic mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account to me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. But, I/we reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

[Email address : \_\_\_\_\_]

I/We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch/service of my/our statement of account on me/us shall not be binding upon you unless you are intimated in writing by me/us by acknowledged delivery.

Yours faithfully,

**Signatures**



First Holder Signature



Second Holder Signature



Third Holder Signature

Name/s of the Beneficial owner/s Signature/s (all joint holders)

**FATCA & CRS DECLARATION (FOR INDIVIDUAL)**

Do you have any non-indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency? ☐ Yes ☐ No

Sole/First Holder/Guardian <input type="checkbox"/> Yes <input type="checkbox"/> No		Second Holder <input type="checkbox"/> Yes <input type="checkbox"/> No		Third Holder <input type="checkbox"/> Yes <input type="checkbox"/> No	
Country of Birth		Country of Birth		Country of Birth	
Country of Citizenship/ Nationality		Country of Citizenship/ Nationality		Country of Citizenship/ Nationality	
Are you a US Specified Person? <input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id		Are you a US Specified Person? <input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id		Are you a US Specified Person? <input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id	
Country of Tax Residency* (Other then India)	Taxpayer Identification No.	Country of Tax Residency* (Other then India)	Taxpayer Identification No.	Country of Tax Residency* (Other then India)	Taxpayer Identification No.
1.		1.		1.	
2.		2.		2.	
Address		Address		Address	

\*Please indicate all countries in which you are a resident for tax purpose and associated Taxpayer identification number.

**FATCA - CRS Terms and Conditions**

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Standard Securities & Investment Intermediates Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

**Signatures**



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

\*For detail terms & conditions please see Client Copy



## GENERAL AUTHORISATION BY THE CLIENT

### Standard Securities & Investment Intermediates Ltd.

Regd. Office : L-79, Top Floor, Lajpat Nagar Part-2,  
New Delhi-110024

Date : \_\_\_\_\_

#### A. VERBAL ORDER ACCEPTANCE AUTHORISATION

I/we am dealing with you as client at NSE/MSEI/MCX in Capital, F&O, Currency Derivative and Commodity Derivative Segment. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/ dealing on my/our behalf as per instruction given below.

I/We agree and acknowledge that it is advised by you that I/We should give instruction for order placement/ modification and cancellation in writing and to avoid disputes, I/We must give instruction in exactly the format in duplicate (carbon copy/ photocopy only) and take signatures of at least two authorized officers at the branch along with company stamp on the carbon copy/ photocopy of the instructions in acknowledgment of receipt of my our instructions.

However as I/We shall be dealing by ordering over phone and even if we visit the branch, the fluctuations in market are so rapid the it is not practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you to accept my/our authorized representative's verbal instructions for order placement/modification and cancellation in person or over phone (fixed line/mobile phone) and execute the same. I/ We understand the risk associated with verbal orders and accept the same, and agree that I/We shall not be entitled to disown orders and consequent trades (if any) by shifting the burden of proof by asking you to prove the placement/modification and cancellation of orders through telephone recording or otherwise.

I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

#### B. FIT AND PROPER PERSON DECLARATION

**As per Regulation 19(1) of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 (SECC Regulations) which states that no person shall, directly or indirectly, acquire or hold equity shares of a recognised Stock Exchange or recognised Clearing Corporation unless he is a fit and proper person in terms of Regulation 19 and 20 of the said SECC Regulations.**

Regulations 19 and Regulations 20 as prescribed by SEBI (herein referred as "Board") are reproduced below:

##### A. Eligibility for acquiring or holding shares.

- (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognised clearing corporation unless he is a fit and proper person.
- (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
- (3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent of the paid up equity share capital of a recognized stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
- (4) Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
- (5) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
- (6) Any person holding more than two per cent. of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

##### B. Fit and proper criteria.

- (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—
  - (a) such person has a general reputation and record of fairness and integrity, including but not limited to—
    - (i) financial integrity;



- (ii) good reputation and character; and
- (iii) honesty;
- (b) such person has not incurred any of the following disqualifications—
  - (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
  - (ii) an order for winding up has been passed against the person;
  - (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
  - (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
  - (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed; (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and (vii) the person is financially not sound.
- (2) If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final. I/we hereby declare that, I/we am/are fit & Proper person as per the above rules & Regulations of the SEBI.

## **C. GENERAL AUTHORITY**

### **Sub: Letter of Authority**

I/we dealing with you as client at NSE/MSEI/MCX in Capital, F&O, Currency Derivative and Commodity Derivative Segment and in order to facilitate ease of operations, I/We authorise you as under :

1. I/We authorise you to set off outstanding in any of my/our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or in any other exchange and/or against the value of cash margin or collateral shares provided to you by me/us.
2. I/We hereby authorise you not to provide me/us Order Confirmation/ Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.
3. I/We hereby authorise you to keep all securities which I/We have given you in margin including the payout securities received by us for meeting margin/order obligation in any of the stock exchanges/clearing house/clearing corporation in whatever manner which may meeting margin/pay-in obligation on my/our behalf or for giving the same as margin to any of the stock exchanges/ clearing house/clearing corporation or otherwise. Further, I/We shall when called upon to do so forthwith from time to time provide a Margin Deposit and/or furnish additional Margin as required under the Rules and Regulations in respect of the business done by me and/or as agreed upon by me with the Trading Member.
4. I/We request you to retain credit balance in any of my/our account and to use the unused funds towards my/our margin/future obligation at any or all the Exchanges unless I/We instruct you otherwise. I/We also authorize you to debit the necessary demat charges from time to time, for keeping the shares in your client demat beneficiary account on my behalf. I/We also authorise you to debit the financial charges @2% p.m., for the debit balances or delay payment charges at the rate prescribed by exchange for shortage in margin/debit balances, if any, in my account and not settled as per the exchange requirements.
5. I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me/us all the confirmation on telephonic unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.
6. We request that you/exchange/other regulatory authority may send/dispatch us contract notes/e-mail alert/other documents through e-mail on my/our designated e-mail address mentioned by me/us in KYC. I/We will completely rely on the log reports of you dispatching software as a conclusive proof of dispatch of e-mail to me/us and will not dispute on the same. I/We note that non-receipt of bounced mail notification by the stock broker shall amount to delivery of the contract note at my/our e-mail ID.

7. I/We will inform you the change of my/our demographic detail and other detail like Bank, DP etc.
8. I/We are aware and acknowledge that trading of all exchanges is in Electronic mode, based on Vsat, lease line, ISDN, Modem, VPN, Internet and/or combination of technologies and computer system to place and route order and also involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, pay in payout of funds & securities, online & offline banking etc. these are susceptible to interruptions, delay, mistake and dislocations; and your services may at any time be unavailable without further notice and I/we understand that there exists a possibility of communication failure or system problems or slow or delay response from system or trading half, or any such other problem/glitch whereby not been able to establish access to the trading system/network or delay in execution of trades, which may be beyond your control any may result in delay in processing or not processing of any orders either in part or in full. I understand that you are not making any representation or warranty that your service will be available to the Client at all times without any interruption. I/We agree that I/We shall not have any claim for any loss incurred by me/us against you on account of any suspension, delay, interruption, nonavailability or malfunctioning of your System or Service for any reason whatsoever.
9. I/We confirm that I/We never sublet the trading terminal on any term of connectivity from my place to any other place without your prior approval.
10. I/We am/are agreeable for inter-settlement transfer of securities towards settlement.
11. I/we am/are agreeable for & authorise you to with hold funds pay-out towards all the applicable margins and debits.
12. All fines/penalties and charges levied upon you due to my acts / deeds or transaction may be recovered by you from my account.
13. I have a Trading As well as depository relationship with STANDARD SECURITIES & INVESTMENT INTERMEDIATES LTD. Please debit the charges relevant with depository services to my trading account. I also agree to maintain the adequate balance in my trading account/ pay adequate advance fee for the said reason.
14. I authorise you to send me the physical Contract notes/Margin statement/Ledger/Other documents through postal/courier and to charge administrative/postal/other charges if the contract notes sent to me get bounced more than 5 times.
15. For the purpose of providing quality and transparent services to its clients, the Company may record your conversation while interacting with you.

#### **D. LETTER OF AUTHORISATION TO PLEDGE THE SECURITIES WITH CLEARING MEMBER/ CLEARING CORPORATION**

I/We are dealing through you as a client registered in NSE/MSEI/MCX in Capital, F&O, Currency Derivative and Commodity Derivative Segment. I have given you the securities as margin. I hereby confirm and declare that:

- (i) The Securities are in existence, owned by me/us and are and shall be free from any charge, lien or encumbrance, whether prior or otherwise
- (ii) The Securities will be subject to the creation of pledge in favour of or for the benefit of Trading Member and further that the Securities over which pledge may be created in future would be in existence and owned by me/us at the time of creation of such pledge and that the Said Securities to be given in future as security to Trading Member would likewise be unencumbered, absolute and disposable property of me/us.
- (iii) I authorise you to do all such acts and things, sign such documents and pay and incur any such costs, debts and expenses as may be necessary from time to time.
- (iv) I/ we agree that the securities shall be subject to the first priority and lien in favour of Trading Member to secure, my/ our obligations and that the rights or interests of me/ us with respect to the Said Securities shall be subject and subordinate to the rights, claims and interests of Trading Member in respect of the Said Securities
- (v) You may invoke the pledge without any reference to or permission of me/ us and upon receipt of the Said Securities, Trading Member may utilize the proceeds in meeting my/our obligations in such manner as it may deem fit and that such invocation of pledge will be final and irrevocable against me/us. (vi) I/we shall not make any claims or demands for refund or any reimbursement in relation to the Said Securities.


#### **E. RUNNING ACCOUNT AUTHORISATION**

I/We are dealing through you as a client in Capital, F&O, Currency Derivative and Commodity Derivative Segment and/or Interest Rate future Segment and/or Mutual Fund Segment & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:


1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.

2. I/We request you to settle my fund once in ☐ 30 days or once in ☐ 90 days or such other higher period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
3. In case I/we have an outstanding obligation on the settlement date, you may retain the requisite securities/funds/ Mutual Fund Units towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
4. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

The running account authorization provided by me shall continue and remain valid until it is revoked by me anytime in writing.

Client Name		11 
Client Code		
Date		

#### PCD (Participants Category disclosure)

To		Client Code:	
<b>Standard Securities &amp; Investment Intermediates Ltd.</b>		Client Name:	
Regd. Office : L-79, Top Floor, Lajpat Nagar Part-2, New Delhi-110024			
<b>Sub: Participants Category disclosure (circular SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 (04-01-19))</b>			
I/We hereby confirm that among the given categories, I/We pertain to category I have ticked and authorize you to update the same in your records and for onward submission with Exchange.			
Catagories	All Commodities	Specific Commodities (mention the names of commodities)	
<b>FPOs/ Farmers</b>	<input type="checkbox"/> < Tick for All		
<b>VCPs (Arbitrageurs, Importer, Exporter, Hedger, Phy. Mtk Trader, etc.)</b>	<input type="checkbox"/> < Tick for All		
<b>Domestic Financial Institutional Investors (Banks, Insurance Com, Mutual Fund, etc.)</b>	<input type="checkbox"/> < Tick for All		
<b>Foreign Participants (NRI, EFE, etc.)</b>	<input type="checkbox"/> < Tick for All		
<b>Others</b>	<input type="checkbox"/> < Tick for All		
		<b>Clients Signature : With Date</b>	12 

## LETTER OF AUTHORITY TO TRADE

To,

**Standard Securities & Investment Intermediates Ltd.**

Regd. Office : L-79, Top Floor, Lajpat Nagar Part-2,  
New Delhi-110024

**Sub: Authority to trade on my / our behalf.**

Dear Sir/Madam,

I hereby authorise Mr/Ms. \_\_\_\_\_ S/d of \_\_\_\_\_  
having PAN \_\_\_\_\_, UID No \_\_\_\_\_ & Mobile No \_\_\_\_\_ is my  
husband/wife/son/daughter/brother/sister/parents/other (Please Specify) \_\_\_\_\_ to trade on my  
behalf in my trading a/c No \_\_\_\_\_ and to perform all or any of the following acts, deeds and things for and on  
my /our behalf and in my/our interest.

I/we confirm that the aforementioned Authorised Person is well aware of risks associated with trading.

1. To operate the Account on my/our behalf.
2. To issue necessary instructions to you, for purchase, sale or transfer of shares and Securities from or to the Account as per Representative own judgment, and to sign necessary documents, wherever required effectuating such instructions of purchase, sale or transfer of securities from or to the Account.
3. To make or cause to make payments to Standard Securities & Investment Intermediates Ltd. (SSIL). whenever necessary, and in accordance with my/our obligations in accordance with the terms and conditions of various agreements executed between me/us and SSIL.
4. To duly honour all my/our contractual obligations under various agreements executed between me/us and SSIL including but not limited to the "Member Constituent Agreement" and/or any other undertaking, commitment issued by my/us in favour of SSIL.
5. To accept and give valid discharges for acceptance and submission of contract notes, bills, ledger statements, transaction statements and all correspondence on my behalf and report any discrepancy therein to SSIL.
6. To receive & accept necessary telephonic calls pertaining to margin &/or trade confirmation or any other call including verification call from SSIL. at designated mobile no. & undertake to update this contact detail in writing with SSIL in the event of any such change, taking place at any time in future.
7. To sincerely abide by the Statutes, Rules, Regulations and Guidelines prescribed for the purpose and in relation to the operation of the Account.
- A. I/we hereby agree and undertake that all such acts, deeds and things done by the Representative shall be deemed to be binding upon me/us as the same has been done by me/us only and I/we shall hereby ratify all and such acts, deeds, or things done by Representative in any manner whatsoever in discharge of the duties conferred upon him/her under the present instrument.
- B. I/we hereby agree and undertake to indemnify and keep SSIL. including its directors, officers and employees indemnified against any loss, claims, liabilities, obligations, damages, deficiencies, actions, suits, or proceedings aroused / accrued or caused to the SSIL. for any wrong act, deed or thing done by the Representative in any manner whatsoever in exercise of the powers conferred upon him.
- C. I/we further undertake and agree not to challenge any act, deed or things done by said Representative in any manner.
- D. I/we hereby agree and acknowledge that this letter of Authority shall be effective and operational until SSIL. received and acknowledged revocation letter at its registered office.

Client Name : \_\_\_\_\_

Authorised Person Signature \_\_\_\_\_

Client Code : \_\_\_\_\_

Date : \_\_\_\_\_

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**E-MAIL ID / MOBILE NUMBER DECLARATION****Standard Securities & Investment Intermediates Ltd.**

Regd. Office : L-79, Top Floor, Lajpat Nagar Part-2, New Delhi-110024

Date : \_\_\_\_\_

Dear Sir,

I/We hereby request you to send all your communications pertaining to my/our trade like Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls or any other communication (which is necessary from Compliance point of view) including the verification call from SSIL etc. on the below mentioned Mobile number/Email id.

Contact Mode	Mention E-mail / Mobile		Mobile / E-mail is registered in the Name (Please tick the correct box)			
	(Please mention Email id in CAPITAL LETTER ONLY)		Self	Spouse	Dependent Child	Dependent Parent
E-mail	<input type="checkbox"/> 1st Holder		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 2nd Holder		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 3rd Holder		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mobile No.	<input type="checkbox"/> 1st Holder		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 2nd Holder		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 3rd Holder		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I/We am/are also aware that the Exchanges have been pursuing a process of confirming the trade details directly to the Clients via SMS and Email alerts which they have carried out through their respective Trading Member. Accordingly, I/We accord my/our consent to receive those SMS as well as Emails alerts directly from the Exchanges on the above stated contact details for the purpose. I/We hereby authorize you for sharing the said contact details with Exchanges.

Yours faithfully,

1st Holder Name: \_\_\_\_\_

2nd Holder Name: \_\_\_\_\_

3rd Holder Name: \_\_\_\_\_

Trading Code \_\_\_\_\_

BO/Client ID \_\_\_\_\_

DP ID \_\_\_\_\_

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Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder



# OPTION FORM FOR ISSUANCE OF DIS BOOKLET ALONG WITH ACCOUNT OPENING

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

DP ID	1	2	0	8	6	9	0	0	Client ID							
First Holder Name																
Second Holder Name																
Third Holder Name																

[Select one of the options given below]

To,  
**Standard Securities & Investment Intermediates Ltd.**  
 Regd. Office : L-79, Top Floor, Lajpat Nagar Part-2, New Delhi-110024




Dear Sir / Madam,

I / We hereby state that :

☐ **OPTION 1 :**

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening of my/our account though I/we have issued a **Demat Debit and Pledge Instruction (DDPI) / Registered for eDIS** / executed PMS agreement in favour of/with \_\_\_\_\_ (Name of the attorney/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such **Demat Debit and Pledge Instruction (DDPI)** -Clearing Member/by PMS manager/**for executing delivery instructions through eDIS**.

Yours faithfully




	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	15 		

OR

☐ **OPTION 2 :**

I/We do not require the Delivery Instruction Slip (DIS) **booklet** for the time being, since I/We have issued a **DDPI/registered for eDIS**/executed PMS agreement in favour of/with (name of the attorney /Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such **Demat Debit and Pledge Instruction (DDPI)**- Clearing Member/by PMS manager **or for executing delivery instructions through eDIS**. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my/our request at any later date.

Yours faithfully

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	16 		

----- (Please Tear Here) -----

## Acknowledgement Receipt

Received OPTION FORM FOR ISSUE / NON ISSUE OF DIS BOOKLET from :

DP ID	1	2	0	8	6	9	0	0	Client ID							
Name of the First/Sole Holder																
Name of the Second Joint Holder																
Name of the Third Joint Holder																

Depository Participant Seal and Signature













## DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

I/We agree to the terms and conditions of Demat Debit & Pledge Instructions (herein referred after to as DDPI) between the undersigned individual(s)/entities(hereinafter referred to as client(s)) and **Standard Securities & Investment Intermediates Ltd.** (hereinafter referred to as SSIL) a company duly incorporated under the Companies Act, 1956 and having Registered Office at L-79 Top floor, Lajpat Nagar Part-2, New Delhi – 110024.

Whereas SSIL is a SEBI registered Stock Broker & Depository Participant and is providing stock broking and depository participant services to their registered clients. Whereas SSIL has the following Principal account / Clearing Member account/ Early pay-in / Pool / Margin Pledge accounts/CMBPIDs to receive securities from the clients:

Demat Account Purpose	CMBPID	CM Name		
NSE NSDL Pool Account NSE NSDL Pool DPID CL ID	CMBPID IN566552 IN300966-10574080	Standard Securities & Investment Intermediates Ltd.		
NSE CDSL Pool Account NSE CDSL EARLY PAYIN Account	1208690000000532 11000011-00020565			
Demat Account Purpose	DP Name	Depository	DP ID	Beneficiary ID
TM/CM – Client Securities Margin Pledge Account	Standard Securities & Investment Intermediates Ltd.	CDSL	12086900	00003396

Whereas, the Client(s) are desirous of availing various broking and depository participant services offered by SSIL and for the purpose of facilitating the transactions and services in broking and/or depository account(s) in a seamless manner, the Client(s) authorise SSIL to execute instructions and perform severally the following acts by accessing the beneficial owner account(s) of Client(s):

S. No.	Purpose	Signature of Client
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	17a  Signature of 1st / Sole Holder
		 Signature of 2nd Holder
		 Signature of 3rd Holder
2.	Pledging / re-pledging of securities in favour of trading member (TM)/ clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	17b  Signature of 1st / Sole Holder
		 Signature of 2nd Holder
		 Signature of 3rd Holder
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms.	17c  Signature of 1st / Sole Holder
		 Signature of 2nd Holder
		 Signature of 3rd Holder
4.	Tendering shares in open offers through Stock Exchange platforms.	17d  Signature of 1st / Sole Holder
		 Signature of 2nd Holder
		 Signature of 3rd Holder

This DDPI document is made on the date and place mentioned hereunder:

Client Name										
DP ID	12086900	Client ID							Trading Code	
Date	/ /20								Place	

Signatures of all major co - parceners are required.

For HUF only (Mandatory)

	Name of major coparceners	Signature
1.		
2.		
3.		
4.		
5.		

# NOTES



# NOTES



### OUR SERVICES

- Equities
- Derivatives
- Commodities
- Currency Derivatives
- Insurance
- Mutual Fund
- IPOs
- Online Trading
- Depository Services



## Standard Securities & Investment Intermediates Ltd.

(CIN : U74899DL1994PLC063453)

**MEMBER : NSE (Capital Market, F&O and Currency Derivative Segment)**

**MEMBER : MSEI (Currency Derivative Segment)**

**MEMBER : MCX (Commodity Segment)**

**SEBI REGN. NO.: INZ000224239**

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**Depository Participant : CDSL • SEBI Regn. No.: IN-DP-386-2018 • DP ID : 12086900**

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**Registered Office :** L-79, Top Floor, Lajpat Nagar Part-2, New Delhi-110024

**Corporate Office :** L-76, 3rd Floor, Lajpat Nagar Part-2, New Delhi-110024

**Depository Division :** L-79/L-76, Top Floor, Lajpat Nagar Part-2, New Delhi-110024

Tel.: 011-47295506, 47295526 • E-mail : [info@standardsec.com](mailto:info@standardsec.com)

Website : [www.standardsec.com](http://www.standardsec.com)

